

Binomial Coefficients and the Distribution of the Primes

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Abstract

Let $\omega(l) = \sum_{p|l} 1$ and $m, n \in \mathbb{N}, n \geq m$. We calculate a formula $\{p \in \mathbb{P}; p | \binom{l}{k}\} = \mathbb{P} \cap \bigcup_i (a(i), b(i)]$ from which we get an identity $\omega(\binom{nk}{mk}) = \sum_i (\pi(\frac{k}{b(i)}) - \pi(\frac{k}{a(i)})) + O(\sqrt{k})$. Erdős [Erd79] mentioned that $\omega(\binom{nk}{mk}) = \log \frac{n^n}{m^m(n-m)^{n-m}} \frac{k}{\log k} + o(\frac{k}{\log k})$. As an application of the above identities, we conclude some well-known facts about the distribution of the primes and deduce $\forall k \in \mathbb{N}$ an expression (also well-known) $\log k = \sum_j \alpha_k(j)$ which generalizes $\log 2 = \sum_{j=1}^{\infty} \frac{(-1)^{j+1}}{j}$.

1. Notation

$\mathbb{N} = \{1, 2, 3, \dots\}$, $\mathbb{N}_0 = \{0, 1, 2, 3, \dots\}$, $\mathbb{P} = \{2, 3, 5, 7, 11, \dots\}$ (primes),

p, p_i, p_{ij} will always denote a prime,

$\pi(x) = \sum_{p \leq x} 1$ ($x \in \mathbb{R}$),

$e_p(n) = \max\{j \in \mathbb{N}_0; p^j \mid n\}$ ($p \in \mathbb{P}, n \in \mathbb{N}$),

$\omega(n) = \sum_{p|n} 1$ ($n \in \mathbb{N}$), we will write $\omega(\binom{n}{k})$ for $\omega(\binom{n}{k})$,

$(m, n) = \max\{d \in \mathbb{N}; d \mid m \text{ and } d \mid n\}$ ($m, n \in \mathbb{N}$),

$\psi(x) = \sum_{p^n \leq x} \log p$ ($x \in \mathbb{R}$),

$\log x$ stands for the natural logarithm to the base e , we will write $\log ab$ for $\log(ab)$,

$x = [x] + \{x\}$, where $[x] = \max\{n \in \mathbb{Z}; n \leq x\}$ ($x \in \mathbb{R}$),

$(a, b] = \{x \in \mathbb{R}; x \leq b \text{ and } x > a\}$ ($a, b \in \mathbb{R}$).

The o, O - notation will normally be used for $k \longrightarrow \infty$.

2. Introduction

Obviously, $\binom{2k}{k}$ has every prime number $p \in (k, 2k]$ as a prime divisor. This, together with the fact that $\binom{2k}{k}$ is sufficiently small, is often used for showing $\pi(x) \ll \frac{x}{\log x}$. On the other hand $\pi(x) \gg \frac{x}{\log x}$ is often shown by noticing that $p^{e_p(\binom{2k}{k})} \leq 2k$ and $\binom{2k}{k}$ is sufficiently large.

In this paper we somehow sharpen and generalize the above facts for a wider class of binomial coefficients: Lemma 1 shows exactly which primes in which intervals divide a given binomial coefficient $\binom{n}{k}$. Thus, one gets an identity $\omega\left(\binom{n}{k}\right) = \sum_i (-1)^{a_{n,k}(i)} \pi\left(\frac{n}{b_{n,k}(i)}\right)$ which we write down for the binomial coefficients $\binom{nk}{mk}$ (n, m fixed, $k \rightarrow \infty$) (Theorem 1). *Paul Erdős* mentioned an asymptotic formula for $\omega\left(\binom{n}{k}\right)$ (for $k > n^{1-o(1)}$) which we write down for $\binom{nk}{mk}$ (Theorem 2, [Erd79]). Combining Theorem 1 and 2, one gets identities (for all pairs (n, m)) from which one can try to deduce some information about $\pi(x)$. This is done in Corollary 1 and 2. Corollary 3 is an application of these identities, which has nothing to do with primes.

Note that the mentioned identities (plus some more) can be derived significantly easier for $\psi(x)$ in place of $\pi(x)$ (Theorem 3, e.g. [Land09, pp. 71-95]). The analogues of Corollary 1 and 2 could then be derived in the same manner for $\psi(x)$. Corollary 3 can also be proven with those identities which involve $\psi(x)$ instead of $\pi(x)$.

3. The Lemma and the Three Theorems

Obviously, $\binom{2k}{k}$ has every prime number $p \in (k, 2k]$ as a prime divisor, since these primes divide the numerator 1 time and the denominator 0 times. Lemma 1 is a straightforward generalization of this fact. For $\binom{2k}{k}, \binom{3k}{k}$ this is partly done in [Fel91].

Lemma 1 *Let $n, k \in \mathbb{N}, n \geq k$. We have:*

$$\begin{aligned} \{p \in \mathbb{P}; p | \binom{n}{k}\} = & \mathbb{P} \cap \left(\bigcup_{i=1}^{\infty} \left(\bigcup_{j=1}^{\infty} \bigcup_{f=\lceil \frac{n}{k}(j-1) \rceil - j + 1}^{\lceil \frac{n}{k}j \rceil - j - 1} \left[\left(\frac{n-k}{f+1} \right)^{1/i}, \left(\frac{n}{f+j} \right)^{1/i} \right] \right) \right. \\ (1) \quad & \left. \cup \bigcup_{j=1, nj \not\equiv 0 \pmod k}^{\infty} \left[\left(\frac{k}{j} \right)^{1/i}, \left(\frac{n}{\lceil \frac{n}{k}j \rceil} \right)^{1/i} \right] \right). \end{aligned}$$

All of the intervals mentioned in (1) are $\neq \emptyset$. Furthermore, for each fixed i , the intervals (depending on j and f , resp. only on j) are disjoint.

Proof. Let $n, k \in \mathbb{N}, n \geq k, p \in \mathbb{P}$, all fixed. Using $e_p(n!) = \sum_{i=1}^{\infty} \left\lfloor \frac{n}{p^i} \right\rfloor$ and $[x+y] - [x] - [y] \in \{0, 1\}$ ($\forall x, y \in \mathbb{R}$) we conclude:

$$\begin{aligned} & p \mid \binom{n}{k} \\ \Leftrightarrow & \sum_{i=1}^{\infty} \left(\left\lfloor \frac{n}{p^i} \right\rfloor - \left\lfloor \frac{k}{p^i} \right\rfloor - \left\lfloor \frac{n-k}{p^i} \right\rfloor \right) > 0 \\ \Leftrightarrow & \exists i \in \mathbb{N} : \left\lfloor \frac{n}{p^i} \right\rfloor - \left\lfloor \frac{k}{p^i} \right\rfloor - \left\lfloor \frac{n-k}{p^i} \right\rfloor = 1 \end{aligned}$$

$$(2) \Leftrightarrow \exists i \in \mathbb{N}, \exists j, f \in \mathbb{N}_0 : \left\lfloor \frac{k}{p^i} \right\rfloor = j \text{ and } \left\lfloor \frac{n-k}{p^i} \right\rfloor = f \text{ and } \left\lfloor \frac{n}{p^i} \right\rfloor = f + j + 1.$$

Now for $r \in \mathbb{N}_0, x \in \mathbb{R}$ and with the definition $\frac{x}{0} = \infty$ we have $\left\lfloor \frac{x}{p^i} \right\rfloor = r \Leftrightarrow p^i \in \left(\frac{x}{r+1}, \frac{x}{r} \right]$. Therefore (2) is equivalent to the condition that $\exists i \in \mathbb{N}, \exists j, f \in \mathbb{N}_0$, such that with the following notation we have $p^i \in (a_1, a_2] \cap (b_1, b_2] \cap (c_1, c_2] (= A(j, f) =: A)$:

$$(3) \quad p^i \in \left(\frac{k}{j+1}, \frac{k}{j} \right] =: (a_1, a_2],$$

$$(4) \quad p^i \in \left(\frac{n}{f+j+2}, \frac{n}{f+j+1} \right] =: (b_1, b_2]$$

$$(5) \quad p^i \in \left(\frac{n-k}{f+1}, \frac{n-k}{f} \right] =: (c_1, c_2].$$

We compute the intersection A for fixed $j, f \in \mathbb{N}_0$: We assume that $A \neq \emptyset$. Hence, we must have $a_1 < b_2$ and $c_1 < b_2$. This yields (we omit the easy calculations):

$$a_1 < b_2 \Leftrightarrow f < \left(\frac{n}{k} - 1 \right) (j+1) \Leftrightarrow b_2 < c_2,$$

$$(6) \quad c_1 < b_2 \Leftrightarrow f > \left(\frac{n}{k} - 1 \right) j - 1 \Leftrightarrow b_2 < a_2.$$

Since $\max\{a_1, b_1, c_1\} < b_2 = \min\{a_2, b_2, c_2\}$ we have $A \neq \emptyset$ and the upper bound of the interval A is b_2 . For the lower bound, we have to distinguish two cases.

Case 1: $f \leq \left(\frac{n}{k} - 1 \right) (j+1) - 1$. We have $f \leq \left(\frac{n}{k} - 1 \right) (j+1) - 1 \Leftrightarrow a_1 \leq b_1 \Leftrightarrow b_1 \leq c_1$. So we get $A = (c_1, b_2]$.

Case 2: If $f > (\frac{n}{k} - 1)(j + 1) - 1$, then using the other bound from (6) we get $f = [(\frac{n}{k} - 1)(j + 1)]$ and $(\frac{n}{k} - 1)(j + 1) \notin \mathbb{Z}$. The last condition is equivalent to $n(j + 1) \not\equiv 0 \pmod{k}$. According to the calculations in case 1, in case 2 we get $c_1 < b_1$ and $b_1 < a_1$. So we get $A = (a_1, b_2]$.

Summarizing we calculated

$$A = \begin{cases} (c_1, b_2] \neq \emptyset & , j \in \mathbb{N}_0 \text{ and } f \in ((\frac{n}{k} - 1)j - 1, (\frac{n}{k} - 1)(j + 1) - 1] \cap \mathbb{N}_0 \\ (a_1, b_2] \neq \emptyset & , j \in \mathbb{N}_0 \text{ and } f = [(\frac{n}{k} - 1)(j + 1)] \text{ and } n(j + 1) \not\equiv 0 \pmod{k} \\ \emptyset & , \text{else} \end{cases} .$$

Thus, we have proven equation (1) (after having shifted the index j).

It remains to show that the intervals $A = A(j, f)$ are disjoint: Let $(j_1, f_1) \neq (j_2, f_2)$. Case 1: $j_1 \neq j_2$. Then (3) shows that $A(j_1, f_1) \cap A(j_2, f_2) = \emptyset$ (recall that $A(j_l, f_l)$ is the intersection of the three intervals, given by (3)-(5)). Case 2: $j_1 = j_2, f_1 \neq f_2$. Now (4) (also (5)) shows that the intersection is empty. \square

Examples

$$\{p \in \mathbb{P}; p \mid \binom{2000}{1000}\}$$

$$= \mathbb{P} \cap ((1000, 2000] \cup (500, 666] \cup (333, 400] \cup (250, 285] \cup \dots),$$

$$\{p \in \mathbb{P}; p \mid \binom{2000}{800}\}$$

$$= \mathbb{P} \cap ((1200, 2000] \cup (800, 1000] \cup (600, 666] \cup (400, 500] \cup (300, 333] \cup (266, 285] \cup \dots).$$

Theorem 1 *Let $m, n \in \mathbb{N}, n \geq m$. We have:*

$$(7) \quad \omega \binom{nk}{mk} = \sum_{j=1}^{\infty} \left(\pi \left(\frac{nk}{j} \right) - \pi \left(\frac{(n-m)k}{j} \right) - \pi \left(\frac{mk}{j} \right) \right) + O(\sqrt{k}) \text{ for } k \rightarrow \infty.$$

Proof. With Lemma 1 (take only the intervals for $i = 1$, the rest is a subset of $[1, \sqrt{nk}] \Rightarrow$ error of $O(\sqrt{k})$) we get:

$$\begin{aligned} \omega\left(\frac{nk}{mk}\right) &= \sum_{j=1}^{\infty} \sum_{f=\lfloor \frac{n}{m}(j-1) \rfloor - j + 1}^{\lfloor \frac{n}{m}j \rfloor - j - 1} \left(\pi\left(\frac{nk}{f+j}\right) - \pi\left(\frac{(n-m)k}{f+1}\right) \right) \\ (8) \quad &+ \sum_{j=1, nj \neq 0 \bmod m}^{\infty} \left(\pi\left(\frac{nk}{\lfloor \frac{n}{m}j \rfloor}\right) - \pi\left(\frac{mk}{j}\right) \right) + O(\sqrt{k}) \text{ for } k \rightarrow \infty. \end{aligned}$$

Now (7) follows from (8) since (note that for each fixed k we have finite sums):

$$\begin{aligned} &\sum_{j=1}^{\infty} \sum_{f=\lfloor \frac{n}{m}(j-1) \rfloor - j + 1}^{\lfloor \frac{n}{m}j \rfloor - j - 1} \pi\left(\frac{(n-m)k}{f+1}\right) = \sum_{j=1}^{\infty} \pi\left(\frac{(n-m)k}{j}\right), \\ &\sum_{j=1, nj \neq 0 \bmod m}^{\infty} \left(\pi\left(\frac{nk}{\lfloor \frac{n}{m}j \rfloor}\right) - \pi\left(\frac{mk}{j}\right) \right) = \sum_{j=1}^{\infty} \left(\pi\left(\frac{nk}{\lfloor \frac{n}{m}j \rfloor}\right) - \pi\left(\frac{mk}{j}\right) \right), \\ &\sum_{j=1}^{\infty} \sum_{f=\lfloor \frac{n}{m}(j-1) \rfloor - j + 1}^{\lfloor \frac{n}{m}j \rfloor - j - 1} \pi\left(\frac{nk}{f+j}\right) + \sum_{j=1}^{\infty} \pi\left(\frac{nk}{\lfloor \frac{n}{m}j \rfloor}\right) = \sum_{j=1}^{\infty} \pi\left(\frac{nk}{j}\right). \end{aligned}$$

□

Paul Erdős mentioned in [Erd79] that

$$(9) \quad \omega\left(\frac{n}{k}\right) = (1 + o(1)) \frac{\log \binom{n}{k}}{\log n} \text{ for } k > n^{1-o(1)}.$$

A proof for this fact can be easily obtained if one looks at Erdős' proof for a weaker statement given in [Erd73, p. 53]. Since Erdős didn't explicitly write down a proof for (9), we do it (we formulate the Theorem just for the case in which we are interested).

Before we proceed with the proof, note the interesting fact that it is comparatively difficult to show that $\omega((2n)!) = \frac{2n}{\log 2n} + o(\frac{n}{\log n})$ (Prime Number Theorem), but much easier to show e.g. $\omega(\frac{(2n)!}{n!n!}) = 2 \log 2 \frac{n}{\log n} + o(\frac{n}{\log n})$ (Theorem 2).

Theorem 2 (Erdős, [Erd79]) *Let $m, n \in \mathbb{N}, n \geq m$. We have:*

$$(10) \quad \omega\left(\frac{nk}{mk}\right) = \log \frac{n^n}{m^m(n-m)^{n-m}} \frac{k}{\log k} + o\left(\frac{k}{\log k}\right) \text{ for } k \rightarrow \infty.$$

Proof. The proof looks as follows:

$$\binom{nk}{mk} = \prod_{p \mid \binom{nk}{mk}} p^{e_p(\binom{nk}{mk})} \approx \prod_{p \mid \binom{nk}{mk}} (nk) = (nk)^{\omega(\binom{nk}{mk})}.$$

Since one can easily compute $\log \binom{nk}{mk}$, the theorem follows.

So let $m, n \in \mathbb{N}$ be fixed with $n > m$ (for $n = m$ the Theorem is obviously correct). Using $\log k! = \int_1^k \log t dt + O(\log k) = k \log k - k + O(\log k)$ we get

$$\begin{aligned} \log \binom{nk}{mk} &= \log(nk)! - \log(mk)! - \log((n-m)k)! \\ &= nk \log n - mk \log m - (n-m)k \log(n-m) + O(\log k) \\ &= k \log \frac{n^n}{m^m(n-m)^{n-m}} + O(\log k). \end{aligned}$$

We now need the crucial fact, that ([Her68],[Sta69],[Sch69]):

$$\forall p \in \mathbb{P}, n, k \in \mathbb{N}, n \geq k : p^{e_p(\binom{n}{k})} \leq n.$$

(Proof: $e_p(\binom{n}{k}) = e_p(n!) - e_p(k!) - e_p((n-k)!) = \sum_{i=1}^{\lfloor \frac{\log n}{\log p} \rfloor} (\lfloor \frac{n}{p^i} \rfloor - \lfloor \frac{k}{p^i} \rfloor - \lfloor \frac{n-k}{p^i} \rfloor) \leq \sum_{i=1}^{\lfloor \frac{\log n}{\log p} \rfloor} 1 \leq \frac{\log n}{\log p} \Rightarrow p^{e_p(\binom{n}{k})} \leq p^{\frac{\log n}{\log p}} = n$). We therefore get:

$$\begin{aligned} \binom{nk}{mk} &\leq (nk)^{\omega(\binom{nk}{mk})} \\ \Rightarrow \omega \binom{nk}{mk} &\geq \frac{\log \binom{nk}{mk}}{\log nk} = \frac{k}{\log k} \log \frac{n^n}{m^m(n-m)^{n-m}} + o\left(\frac{k}{\log k}\right). \end{aligned}$$

Thus, we have the \geq of (10). As for the \leq we proceed as follows:

Let $\varepsilon \in (0, 1)$, $k \in \mathbb{N}$ and $f(k, \varepsilon) := \#\{p > (nk)^{1-\varepsilon}; p \in \mathbb{P}, p \mid \binom{nk}{mk}\}$. We have

$$\begin{aligned} \binom{nk}{mk} &> ((nk)^{1-\varepsilon})^{f(k, \varepsilon)} \\ \Rightarrow f(k, \varepsilon) &< \frac{\log \binom{nk}{mk}}{(1-\varepsilon) \log nk} = \frac{k}{(1-\varepsilon) \log k} \log \frac{n^n}{m^m(n-m)^{n-m}} + o\left(\frac{k}{\log k}\right). \end{aligned}$$

Therefore we get

$$\omega \binom{nk}{mk} \leq f(k, \varepsilon) + k^{1-\varepsilon} < \frac{k}{(1-\varepsilon) \log k} \log \frac{n^n}{m^m(n-m)^{n-m}} + o\left(\frac{k}{\log k}\right).$$

Since the last inequality holds for all $\varepsilon \in (0, 1)$ we get

$$\limsup_{k \rightarrow \infty} \frac{\omega\left(\frac{nk}{mk}\right)}{\frac{k}{\log k}} \leq \log \frac{n^n}{m^m(n-m)^{n-m}}$$

and the proof is complete. \square

Remark Since $\pi(x) = \frac{x}{\log x} + o\left(\frac{x}{\log x}\right)$, Theorem 2 shows that the binomial coefficients $\binom{nk}{mk}$ have a “positive proportion” of all prime numbers $\leq nk$ as divisors.

Note that if $k = o(n)$ ($k = k(t), n = n(t), k \leq n, \lim_{t \rightarrow \infty} k(t) = \infty$), then $\omega\left(\frac{n}{k}\right) = o\left(\frac{n}{\log n}\right)$ for $t \rightarrow \infty$.

Proof. Like in the second part of Theorem 2’s proof, we get for an $\varepsilon \in (0, 1)$:

$$\omega\left(\frac{n}{k}\right) < \frac{1}{1 - \varepsilon \log n} \log \frac{n^n}{k^k(n-k)^{n-k}} + o\left(\frac{n}{\log n}\right).$$

Define $l = \frac{n}{k}$, then $l \rightarrow \infty$ as $t \rightarrow \infty$ and it follows, that $\frac{1}{n} \log \frac{n^n}{k^k(n-k)^{n-k}} = \log \frac{l}{l-1} + \frac{1}{l} \log(l-1) \rightarrow 0$ whence we get the statement. \square

Examples Some concrete values which one yields from Theorem 2 are (note that $\lim \omega\left(\frac{k}{\frac{2}{5}k}\right)/\frac{k}{\log k} = \lim \omega\left(\frac{5k}{2k}\right)/\frac{5k}{\log k}$)

$$\begin{aligned} \omega\left(\frac{k}{k/2}\right)/\frac{k}{\log k} &\rightarrow 0.69 \dots; \omega\left(\frac{k}{k/3}\right)/\frac{k}{\log k} \rightarrow 0.63 \dots; \omega\left(\frac{k}{k/4}\right)/\frac{k}{\log k} \rightarrow 0.56 \dots; \\ \omega\left(\frac{k}{k/5}\right)/\frac{k}{\log k} &\rightarrow 0.50 \dots; \omega\left(\frac{k}{k/10}\right)/\frac{k}{\log k} \rightarrow 0.32 \dots; \omega\left(\frac{k}{k/100}\right)/\frac{k}{\log k} \rightarrow 0.05 \dots; \\ \omega\left(\frac{k}{k\frac{2}{5}}\right)/\frac{k}{\log k} &\rightarrow 0.67 \dots \end{aligned}$$

By combining Theorem 1 and 2 we get for each pair $n, m \in \mathbb{N}, n > m$ an identity of the form $\alpha_{m,n} \frac{x}{\log x} + o\left(\frac{x}{\log x}\right) = \sum_i (-1)^{a_{m,n}(i)} \pi\left(\frac{x}{b_{m,n}(i)}\right)$. We can sum up these identities for different pairs (n, m) and therefore get new identities. It turns out that one can get these resulting identities (and even some more) significantly easier for $\psi(x)$ and this has been mentioned quite often, e.g. in [Land09, pp. 71-95]:

Theorem 3 (e.g. [Land09, pp. 71-95]) Let $l, j \in \mathbb{N}, m_1, \dots, m_l, n_1, \dots, n_j \in \mathbb{N}$ with $\sum_{i=1}^l m_i = \sum_{i=1}^j n_i$. We have:

$$(11) \quad \log \frac{(n_1 k)! \dots (n_j k)!}{(m_1 k)! \dots (m_l k)!} = k \log \frac{n_1^{n_1} \dots n_j^{n_j}}{m_1^{m_1} \dots m_l^{m_l}} + O(\log k),$$

$$(12) \quad \log \frac{(n_1 k)! \dots (n_j k)!}{(m_1 k)! \dots (m_l k)!} = \sum_{i=1}^{\infty} \left(\psi \left(\frac{n_1 k}{i} \right) + \dots + \left(\frac{n_j k}{i} \right) - \psi \left(\frac{m_1 k}{i} \right) - \dots - \left(\frac{m_l k}{i} \right) \right).$$

Proof. (11) follows from $\log k! = k \log k - k + O(\log k)$.

As for (12): Put $\Lambda(n) = \log p$ if $n = p^j$ ($p \in \mathbb{P}, j \in \mathbb{N}$) and $\Lambda(n) = 0$ otherwise. Then

$$\begin{aligned} \log k! &= \sum_{i=1}^k \log i = \sum_{i=1}^k \sum_{n|i} \Lambda(n) = \sum_{n=1}^k \left[\frac{k}{n} \right] \Lambda(n) = \sum_{n=1}^k \sum_{i \leq k/n} \Lambda(n) = \\ &= \sum_{i=1}^k \sum_{n \leq k/i} \Lambda(n) = \sum_{i=1}^k \psi \left(\frac{k}{i} \right) = \sum_{i=1}^{\infty} \psi \left(\frac{k}{i} \right) \end{aligned}$$

which proves (12). \square

Remark In Theorem 3 one can replace $\log(xk)!$ ($x \in \mathbb{N}$) by $L(xk) = \sum_{i \leq xk} \log i$ ($x \in \mathbb{R}$) and the stated identities remain correct.

4. Applications

In Corollary 1 we show how to use the identities obtained by combining Theorem 1 and 2 in order to get some bounds for $\pi(x)$. In the remark after the Corollary we note why a refinement of the method used in Corollary 1 is not suitable for proving the Prime Number Theorem.

Corollary 2 is a statement which includes the following well-known result due to *Chebychev*:

$$(1) \quad \lim_{x \rightarrow \infty} \frac{\pi(x)}{x / \log x} \text{ exists} \Leftrightarrow \lim_{x \rightarrow \infty} \frac{\pi(x)}{x / \log x} = 1.$$

The paper ends with Corollary 3, which - using Theorem 1, 2 and the Prime Number Theorem - allows one to give a series which converges to $\log k$, thus generalizing the identity $\log 2 = \sum_{j=1}^{\infty} \frac{(-1)^{j+1}}{j}$. Note that “elementary” proofs exist for this fact, which don’t make use of such “heavy” Theorems like the Prime Number Theorem (e.g. [KicGoe98],[Les01]). Nevertheless, it might be interesting to see how this series occurs again in connection with the prime factors of certain binomial coefficients.

Corollary 1 gives some bounds for $\pi(x)$. Note that exactly the same method and(!) numbers have been used often for doing the same with $\psi(x)$ ([IwaKow04, p.33], [Land09, pp. 87-91]). In the latter case Theorem 3 (with $n_1 = 30, n_2 = 1, m_1 = 15, m_2 = 10, m_3 = 6$) is used instead of Theorem 1 and 2. We just included Corollary 1 and its proof for the purpose of completeness.

Corollary 1

$$(2) \quad 0.92 \frac{x}{\log x} + o\left(\frac{x}{\log x}\right) < \pi(x) < 1.11 \frac{x}{\log x} + o\left(\frac{x}{\log x}\right).$$

Proof. With Theorem 1 (for $n = 3, 4, 6$ and $m = 1$; let $k \in 60\mathbb{N}$) we get
 $\omega\left(\frac{k/2}{k/6}\right) = \pi\left(\frac{k}{2}\right) - \pi\left(\frac{k}{3}\right) + \pi\left(\frac{k}{4}\right) - \pi\left(\frac{k}{6}\right) + \pi\left(\frac{k}{8}\right) - \pi\left(\frac{k}{9}\right) + \pi\left(\frac{k}{10}\right) \mp \dots + O(\sqrt{k})$
 $\omega\left(\frac{k/3}{k/12}\right) = \pi\left(\frac{k}{3}\right) - \pi\left(\frac{k}{4}\right) + \pi\left(\frac{k}{6}\right) - \pi\left(\frac{k}{8}\right) + \pi\left(\frac{k}{9}\right) - \pi\left(\frac{k}{12}\right) \pm \dots + O(\sqrt{k})$
 $-\omega\left(\frac{k/10}{k/60}\right) = -\pi\left(\frac{k}{10}\right) + \pi\left(\frac{k}{12}\right) - \pi\left(\frac{k}{20}\right) + \pi\left(\frac{k}{24}\right) - \pi\left(\frac{k}{30}\right) \pm \dots + O(\sqrt{k})$
Sum up the last three identities to obtain

$$(3) \quad \omega\left(\frac{k/2}{k/6}\right) + \omega\left(\frac{k/3}{k/12}\right) - \omega\left(\frac{k/10}{k/60}\right) = \pi\left(\frac{k}{2}\right) - \pi\left(\frac{k}{12}\right) + \pi\left(\frac{k}{14}\right) - \pi\left(\frac{k}{20}\right) \pm \dots + O(\sqrt{k}).$$

A further analysis shows that the sign on the right side of (3) is alternating: Let us associate to the sum on the right side of (3) the sequence $(a_n)_{n \in \mathbb{N}} = (0, 1, 0, 0, 0, 0, 0, 0, 0, 0, -1, 0, 1, \dots)$ where the value a_n shows how much times $\pi\left(\frac{x}{k}\right)$ is added in the sum. By Theorem 1 one sees that (a_n) has period 60 and we have:

$$a_n = \begin{cases} -1 & , n \equiv \{12, 20, 24, 30, 36, 40, 48, 60\} \pmod{60} \\ 1 & , n \equiv \{2, 14, 22, 26, 34, 38, 46, 58\} \pmod{60} \\ 0 & , else \end{cases}.$$

Thus, the sum on the right side of (3) is alternating. That is important, since - because of the monotonicity of $\pi(x)$ - we can then estimate

$$(4) \quad \pi\left(\frac{k}{2}\right) - \pi\left(\frac{k}{12}\right) + O(\sqrt{k}) \leq \omega\left(\frac{k/2}{k/6}\right) + \omega\left(\frac{k/3}{k/12}\right) - \omega\left(\frac{k/10}{k/60}\right) \leq \pi\left(\frac{k}{2}\right) + O(\sqrt{k}).$$

On the other hand Theorem 2 gives us

$$(5) \quad \begin{aligned} \omega\left(\frac{k/2}{k/6}\right) + \omega\left(\frac{k/3}{k/12}\right) - \omega\left(\frac{k/10}{k/60}\right) &= \left(\frac{0.6365\dots}{2} + \frac{0.5623\dots}{3} - \frac{0.4505\dots}{10}\right) \frac{k}{\log k} + o\left(\frac{k}{\log k}\right) \\ &= 0.460\dots \frac{k}{\log k} + o\left(\frac{k}{\log k}\right). \end{aligned}$$

If we combine (4) and (5) and use the well-known bound $\pi(\frac{k}{12}) \leq \frac{2}{12} \frac{k}{\log k}$, we get:

$$0.92 \frac{x}{\log x} + o\left(\frac{x}{\log x}\right) < \pi(x) < 1.26 \frac{x}{\log x} + o\left(\frac{x}{\log x}\right).$$

If we use the last inequality for estimating $\pi(\frac{k}{12})$ and do the last step again then we get the upper bound “1.135”. Do the last step once more to get the upper bound “1.11”. \square

Remark 1 The statement of Corollary 1 can be proven also for $\psi(x)$ instead of $\pi(x)$ ([IwaKow04, p. 33], [Land09, pp. 87-91]). Just use Theorem 3 with $n_1 = 30, n_2 = 1, m_1 = 15, m_2 = 10, m_3 = 6$ to get the analogue of equation (3) for $\psi(x)$. Then continue as in the proof above.

Remark 2 Looking at the proof of Corollary 1, one immediately tries to add more $\omega(\frac{k/l_i}{k/m_i})$'s in (3) in order to sharpen the estimates (hopefully to $1 - \varepsilon < \frac{\pi(x) \log x}{x} < 1 + \varepsilon$ for each $\varepsilon > 0 \Rightarrow$ Prime Number Theorem). In the case of (3) one could use $\omega(\frac{k/12}{k/84})$ to get

$$(6) \quad \omega\left(\frac{k}{2}\right) + \omega\left(\frac{k}{3}\right) - \omega\left(\frac{k}{10}\right) + \omega\left(\frac{k}{12}\right) = \pi\left(\frac{k}{2}\right) - \pi\left(\frac{k}{20}\right) + \pi\left(\frac{k}{22}\right) + \pi\left(\frac{k}{26}\right) \mp \dots + O(\sqrt{k}).$$

However, that is not as good as it may possibly look: Now the sum is not alternating anymore. While in Corollary 1 the “error term” which appeared was $\pi(k/12)$, now the “error term” is $\pi(k/20) + \pi(k/26)$.

Landau explains in [Land09, pp. 597-598, 941] why an extension of the above method (*Landau* refers to the analogue identities which one gets for $\psi(x)$, see Theorem 3) is not suitable for proving the Prime Number Theorem (which we will call from now on PNT). We will give a bit more details then he gives: Let us shortly sketch how the method would have to be systematized if one wants to deduce PNT. Let us therefore use Theorem 3 instead of Theorem 1 and 2. *Having in mind* what we did in Corollary 1, we start with

$$(7) \quad \log n! = \psi\left(\frac{n}{1}\right) + \psi\left(\frac{n}{2}\right) + \psi\left(\frac{n}{3}\right) + \dots$$

If - using the method of Corollary 1 - we want to get some bounds a, A with $a + o(1) < \psi(x)/x < A + o(1)$ and $A - a \leq \varepsilon$, then it is necessary (though not sufficient) to eliminate from the sum (7) the expressions $\psi(\frac{n}{2}), \psi(\frac{n}{3}), \dots, \psi(\frac{n}{k_0})$ where $k_0 \in \mathbb{N}, \frac{1}{k_0} \leq \varepsilon$. Therefore we must(!) subtract in equation (7) the expressions $\log(\frac{n}{2})!, \log(\frac{n}{3})!, \log(\frac{n}{5})!, \dots, \log(\frac{n}{p_{k_0}})!$ ($p_i = \max\{p \in \mathbb{P}; p \leq i\}$). But then we have subtracted the expressions $\psi(\frac{x}{p_i p_j})$ twice, thus we have to

add these once. This has to be continued and the outcome of the procedure is $(k_1 \geq k_0$; we can assume $\frac{n}{p_{i1} \dots p_{ij}}, \frac{n}{l_0} \in \mathbb{N}$; we also divide the equation through n)

$$(8) \quad \frac{1}{n} \left(\psi(n) \pm \psi\left(\frac{n}{k_1}\right) \pm \dots \right) =$$

$$\frac{1}{n} \left(\log \left(n! \cdot \prod_{p_{i1}p_{i2} \leq k_0} \left(\frac{n}{p_{i1}p_{i2}} \right)! \cdot \dots \right) - \log \left(\prod_{p_{i1} \leq k_0} \left(\frac{n}{p_{i1}} \right)! \cdot \prod_{p_{i1}p_{i2}p_{i3} \leq k_0} \left(\frac{n}{p_{i1}p_{i2}p_{i3}} \right)! \cdot \dots \right) \right).$$

Now for being able to work with (8) we have to add/subtract another $\log(\frac{n}{l_0})!$ for an $l_0 \in \mathbb{Q}$, so that the condition $\sum_{i=1}^l m_i = \sum_{i=1}^j n_i$ of Theorem 3 is met. The value of l_0 is determined by k_0 : $\frac{1}{l_0} = |\sum_{d=1}^{k_0} \frac{\mu(d)}{d}|$. Since we want to get bounds a, A with $A - a \leq \varepsilon$ it is necessary (again not sufficient) that there exists a k_0 with $\frac{1}{k_0} \leq \varepsilon$ such that $\frac{1}{l_0} = |\sum_{d=1}^{k_0} \frac{\mu(d)}{d}| \leq \varepsilon$. Since it is an “elementary” theorem that $\liminf \sum_{d=1}^x \frac{\mu(d)}{d} \leq 0 \leq \limsup \sum_{d=1}^x \frac{\mu(d)}{d}$ [Land09, pp. 583-584] we can get a suitable k_0 and l_0 (although new problems are likely to arise if k_0 is too big). BUT there is a bigger problem: For our method to be successful, the right side of (8) must tend to a limit. According to Theorem 3 the right side of (8) is - apart from an error of $o(1)$ - equal to

$$\log \left(\prod_{p_{i1}p_{i2} \leq k_0} \left(\frac{1}{p_{i1}p_{i2}} \right)^{\frac{1}{p_{i1}p_{i2}}} \cdot \dots \right) - \log \left(\cdot \prod_{p_{i1} \leq k_0} \left(\frac{1}{p_{i1}} \right)^{\frac{1}{p_{i1}}} \cdot \prod_{p_{i1}p_{i2}p_{i3} \leq k_0} \left(\frac{1}{p_{i1}p_{i2}p_{i3}} \right)^{\frac{1}{p_{i1}p_{i2}p_{i3}}} \cdot \dots \right) =$$

$$\sum_{d \leq k_0} \mu(d) \log \left(\frac{1}{d} \right)^{\frac{1}{d}} = - \sum_{d \leq k_0} \mu(d) \frac{\log d}{d}.$$

Now, the statement that the latter sum converges for $k_0 \rightarrow \infty$ lies deeper than PNT as it is explained in [Land09, pp. 598-604, 941]. Let us summarize: For proving PNT via the above method one must ensure (apart from other things) that $\sum_{d=1}^{\infty} \mu(d) \frac{\log d}{d}$ is convergent and once we know that, there is already an elementary argument for deducing PNT.

For more information on PNT see [BatDia96], [BatDia69], [Land09].

Corollary 2 *We have*

$$(9) \quad \forall k \in \mathbb{N} \quad \lim_{x \rightarrow \infty} \frac{\pi(kx)}{\pi(x)} \text{ exists} \Leftrightarrow \lim_{x \rightarrow \infty} \frac{\pi(x)}{x / \log x} = 1.$$

Proof.

“ \Leftarrow ” ✓

“ \Rightarrow ” We will derive the statement from the following equation:

$$(10) \quad \log 2 \frac{x}{\log x} = \sum_{i=1}^{\infty} (-1)^{i+1} \pi\left(\frac{x}{i}\right) + o\left(\frac{x}{\log x}\right).$$

Proof of (10): Using Theorem 1 and 2 for $n = 2, m = 1$, we derive the following identity:

$$\log 2 \frac{2k}{\log 2k} + o\left(\frac{k}{\log k}\right) = \pi(2k) - \pi\left(\frac{2k}{2}\right) + \pi\left(\frac{2k}{3}\right) - \pi\left(\frac{2k}{4}\right) \pm \dots = \sum_{i=1}^{\infty} (-1)^{i+1} \pi\left(\frac{2k}{i}\right).$$

Now, since for $|x - 2k| \leq 2$: $\frac{2k}{\log 2k} - \frac{x}{\log x} = O(1)$ and $\sum_{i=1}^{\infty} (-1)^{i+1} \pi\left(\frac{2k}{i}\right) - \sum_{i=1}^{\infty} (-1)^{i+1} \pi\left(\frac{x}{i}\right) = \sum_{i=1}^{\lfloor \sqrt{2k} \rfloor} (-1)^{i+1} (\pi\left(\frac{2k}{i}\right) - \pi\left(\frac{x}{i}\right)) + O(\sqrt{k}) = O(\sqrt{k})$, we get what we wanted.

By assumption we can define $\forall k \in \mathbb{N}$: $\alpha_k := \lim_{x \rightarrow \infty} \frac{\pi(kx)}{\pi(x)} \in \mathbb{R}$.

$\sum_{i=1}^{\infty} (-1)^{i+1} \frac{1}{\alpha_i}$ is convergent: $(\frac{1}{\alpha_i})_{i \in \mathbb{N}}$ is monotonously decreasing. Furthermore $\alpha_2 > 1$, otherwise $\alpha_2 = 1 \Rightarrow \alpha_{2^n} = 1 \forall n \in \mathbb{N}$, what would contradict $\frac{x}{\log x} \ll \pi(x) \ll \frac{x}{\log x}$ (see Corollary 1). Altogether we get that $(\frac{1}{\alpha_i})_{i \in \mathbb{N}}$ is a monotonously decreasing zero-sequence ($\alpha_{2^i} = (\alpha_2)^i \forall i \in \mathbb{N}$), hence the mentioned series is convergent.

Now let $a_n := \sum_{i=1}^n (-1)^{i+1} \frac{1}{\alpha_i}$ and $a := \sum_{i=1}^{\infty} (-1)^{i+1} \frac{1}{\alpha_i}$. Take an arbitrary $\varepsilon > 0$ and choose $n_0 \in \mathbb{N}$, such that $\forall n \geq n_0 : |a - a_n| < \varepsilon$. Using the monotonicity of $\pi(x)$ we get:

$$\begin{aligned} \frac{\sum_{i=1}^{\infty} (-1)^{i+1} \pi\left(\frac{x}{i}\right)}{\pi(x)} &\leq \sum_{i=1}^{2n_0+1} (-1)^{i+1} \frac{\pi\left(\frac{x}{i}\right)}{\pi(x)} \rightarrow \sum_{i=1}^{2n_0+1} (-1)^{i+1} \frac{1}{\alpha_i} \leq a + \varepsilon \text{ for } x \rightarrow \infty \text{ as well as} \\ \frac{\sum_{i=1}^{\infty} (-1)^{i+1} \pi\left(\frac{x}{i}\right)}{\pi(x)} &\geq \sum_{i=1}^{2n_0} (-1)^{i+1} \frac{\pi\left(\frac{x}{i}\right)}{\pi(x)} \rightarrow \sum_{i=1}^{2n_0} (-1)^{i+1} \frac{1}{\alpha_i} \geq a - \varepsilon \text{ for } x \rightarrow \infty. \end{aligned}$$

Thus we have

$$\sum_{i=1}^{\infty} (-1)^{i+1} \pi\left(\frac{x}{i}\right) = a\pi(x) + o\left(\frac{x}{\log x}\right).$$

If we put the last equation into (10) we get

$$\pi(x) = \frac{\log 2}{a} \frac{x}{\log x} + o\left(\frac{x}{\log x}\right).$$

We put the last identity into the definition of the α_k and get

$$\forall k \in \mathbb{N} : \alpha_k = k,$$

whence

$$a = \sum_{i=1}^{\infty} (-1)^{i+1} \frac{1}{\alpha_i} = \sum_{i=1}^{\infty} (-1)^{i+1} \frac{1}{i} = \log 2.$$

□

Remark 1 Note that the condition in (9, left side) is weaker than the one in (1, left side): (9, left side) follows immediately from (1, left side), but the converse doesn't follow instantly: E.g. let $f(x)$ be a function with $f(x) = (x/\log x)(1 + \alpha \sin(\log \log x)) + o(x/\log x)$ with $\alpha \in \mathbb{R}_{\neq 0}$. If we replace $\pi(x)$ by $f(x)$ then (9, left side) is true, but (1, left side) obviously not.

Remark 2 Some superficial attempts to prove the Prime Number Theorem by proving (9, left side) failed (e.g. by using an explicit formula for $\pi(x)$ like the "Sieve of Eratosthenes" or "Meissels formula").

Remark 3 Note that Bertrands Postulate ($\pi(2n) - \pi(n) > 0$) can be verified immediately from equation (10) for $n \geq n_0$, since $\pi(x) - \pi(x/2) + \pi(x/3) \geq \log 2 \frac{x}{\log x} + o(\frac{x}{\log x})$, now use $\pi(x/3) < \frac{2}{3} \frac{x}{\log x}$. On the other hand Bertrands Postulate for $n \geq n_0$ also follows from the bounds in Corollary 1.

Remark 4 The proof of Corollary 2 uses only the equation (10) which can be obtained for $\psi(x)$ from Theorem 3 (take $n_1 = 2, m_1 = 1, m_2 = 1$).

There is an interesting generalization of the sum $\log 2 = \sum_{j=1}^{\infty} \frac{(-1)^{j+1}}{j}$ for arbitrary $k \in \mathbb{N}$ instead of $k = 2$ (see for instance [KicGoe98], [Les01]).

With Theorem 1, 2 and the Prime Number Theorem we obtain a proof for this generalization. Note that "elementary" proofs exist for Corollary 3, which don't make use of such "heavy" Theorems like the Prime Number Theorem (e.g. [KicGoe98], [Les01]). However, it might be interesting to see how these identities occur again in connection with the prime factors of certain binomial coefficients.

Corollary 3 For all $k \in \mathbb{N}$ we have

$$\begin{aligned} (11) \quad \log k &= \sum_{n=1}^{\infty} \left(\left(\sum_{i=1}^{k-1} \frac{1}{nk - (k-i)} \right) - \frac{k-1}{nk} \right) \\ &= 1 + \frac{1}{2} + \dots - \frac{1}{k-1} - \frac{k-1}{k} + \frac{1}{k+1} + \frac{1}{k+2} + \dots + \frac{1}{2k-1} - \frac{k-1}{2k} + \dots \end{aligned}$$

Proof. Fix an arbitrary $n \in \mathbb{N}_{>1}$ and take $m = 1$. Combine Theorem 1 and 2 and divide the derived identity through $\frac{k}{\log k}$. Then use the Prime Number Theorem to get

(This doesn't fail because of any missing uniform convergence as it can be seen in the proof of Corollary 2, where - if we assumed the Prime Number Theorem as true - proved the following identity for the case $n = 2$.):

$$(12) \quad \log \frac{n^n}{(n-1)^{n-1}} = \sum_{j=0}^{\infty} \sum_{i=1}^{n-1} \left(\frac{1}{j+i/n} - \frac{1}{j+i/(n-1)} \right).$$

Now having in mind that $\log \frac{k^k}{(k-1)^{k-1}} = k \log k - (k-1) \log(k-1)$, it is an easy exercise in induction to obtain the stated Corollary from (12). \square

Examples For instance we get

$$\begin{aligned} \log 2 &= \sum_{j=1}^{\infty} (-1)^{j+1} \frac{1}{j}, \\ \log 3 &= \sum_{j=1}^{\infty} \frac{9j-4}{(3j-2)(3j-1)3j}. \end{aligned}$$

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